



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Kaoru UCHIDA

**Examiner:** Unassigned

**Serial No.:** 09/722,964

**Group:** 2621

**Filed:** Jan. 27, 2000

**Docket:** 14098

**For:** USER AUTHENTICATION APPARATUS  
WHICH USES BIOMETRICS AND USER  
AUTHENTICATION METHOD FOR USE  
WITH USER AUTHENTICATION APPARATUS

**Dated:** September 6, 2002

#5  
9-16-02

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

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**Technology Center 2600**

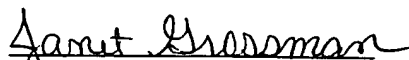
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 9-160589, dated June 20, 1997;
2. Japanese Laid-Open Patent Publication No. 11-143707, dated May 28, 1999;
3. Japanese Laid-Open Patent Publication No. 10-275233, dated October 13, 1998;
4. Japanese Laid-Open Patent Publication No. 4-123276, dated April 23, 1992;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 6, 2002.

**Dated:** September 6, 2002

  
Janet Grossman

5. Japanese Laid-Open Publication No. 11-73395, dated March 16, 1999;
6. Japanese Laid-Open Publication No. 9-282282, dated October 31, 1997; and
7. Japanese Laid-Open Publication No. 11-85994, dated March 30, 1999.

The references were cited in an Official Action dated June 25, 2002 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs